

# Successes and Challenges Related to Community Participation in Primary Health Care and Health Programs in the IGAD Region: A Scoping Review

## Succès et défis liés à la participation communautaire dans les soins de santé primaires et les programmes de santé dans la région de l'IGAD: Une revue de la portée

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### ABSTRACT

For decades, community participation (CP) in primary health care (PHC) and health programs (HP) has played a crucial role in improving health services and their sustainability. This article examines the successes and challenges of CP in the Intergovernmental Authority on Development (IGAD) region, which includes Djibouti, Ethiopia, Eritrea, Kenya, Somalia, Sudan, South Sudan, and Uganda, focusing on the factors influencing and levels of community engagement. This study employs a scoping review based on the Arksey and O'Malley framework and the IAP2 public participation spectrum. A comprehensive search was conducted in PubMed, Scopus, Web of Science, and Google Scholar for studies published up to April 2024. Relevant articles on CP mechanisms in PHC and HP in the IGAD region were selected. In total, 64 articles were included in this scoping review. The studies highlighted various forms and mechanisms of CP, such as the establishment of community health committees and the mobilization of volunteers. Successes included improvements in disease prevention, crisis management, community resilience, and access to maternal and child health services. However, challenges remain, such as communication gaps, resource limitations, cultural barriers, and political instability. Community participation is essential for the success of health programs in the IGAD region. Although significant progress has been made, persistent challenges must be addressed to optimize the impact and sustainability of CP initiatives.

**Key words:** Community participation, Primary health care, Health People Programs, East African People, Resilience, Psychological

### RÉSUMÉ

Depuis des décennies, la participation communautaire (PC) dans les soins de santé primaires (SSP) et les programmes de santé (PS) joue un rôle crucial dans l'amélioration des services de santé et leur pérennité. Cet article analyse les réussites et les défis de la PC dans la région de l'Autorité intergouvernementale pour le développement (IGAD), qui inclut Djibouti, l'Éthiopie, l'Érythrée, le Kenya, la Somalie, le Soudan, le Soudan du Sud et l'Ouganda, en se concentrant sur les facteurs d'influence et les niveaux d'engagement communautaire. Cette étude s'appuie sur une revue de la portée (scoping review) basée sur le cadre d'Arksey et O'Malley et sur le spectre de participation publique de l'IAP2. Une recherche exhaustive a été réalisée dans PubMed, Scopus, Web of Science et Google Scholar pour les études publiées jusqu'en avril 2024. Les articles pertinents sur les mécanismes de PC dans les SSP et les PS de la région IGAD ont été sélectionnés. Au total, 64 articles ont été inclus dans cette revue. Les études soulignent diverses formes et mécanismes de participation communautaire, comme la création de comités de santé communautaires et la mobilisation de volontaires. Parmi les réussites notables figurent l'amélioration de la prévention des maladies, la gestion des crises, le renforcement de la résilience des communautés et l'accès aux services de santé maternelle et infantile. Cependant, des défis persistent, tels que les lacunes en matière de communication, la limitation des ressources, les barrières culturelles et l'instabilité politique. La participation communautaire est indispensable au succès des programmes de santé dans la région IGAD. Bien que des progrès significatifs aient été réalisés, il reste nécessaire de relever les défis persistants pour optimiser l'impact et la pérennité des initiatives de participation communautaire.

**Mots clés :** Participation communautaire, Soins de santé primaires, Programmes de santé des populations, Populations d'Afrique de l'Est, Résilience, Psychologique.

### Correspondance

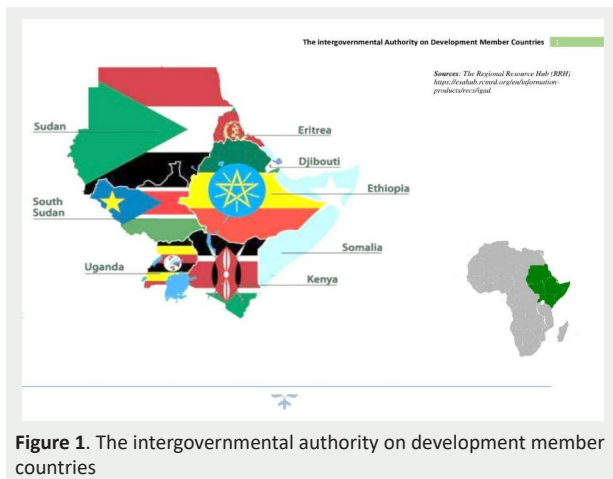
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## INTRODUCTION

In 1978, the Alma-Ata Declaration marked a global recognition of the essential importance of community involvement in primary healthcare (PHC) and health programs (HP). This declaration emphasized disease prevention and health promotion through active community participation, encouraging them to play a key role in safeguarding their own health and well-being (1, 2). More recently, this participatory approach has been integrated into strategies aimed at achieving the Sustainable Development Goals (SDGs), becoming a central element of rights-based health methods and the social determinants of health (3, 4). During the Astana Declaration in 2018, nations worldwide, including those in the IGAD region, renewed their political commitment. They reaffirmed their determination to promote active participation and engagement of their communities in PHC and HP, while aiming to empower these communities (5). The Intergovernmental Authority on Development (IGAD) is a regional organization in East Africa founded in 1986, composed of eight member countries: Djibouti, Ethiopia, Eritrea, Kenya, Somalia, Sudan, South Sudan, and Uganda.



**Figure 1.** The intergovernmental authority on development member countries

These countries have diverse socio-economic and health contexts but share common goals in development and public health. IGAD's geopolitical importance lies in its ability to promote regional stability and facilitate cooperation among member states, while its socio-economic significance is evident through initiatives aimed at improving infrastructure, natural resource management, and health services in the region. These efforts are crucial for sustainable development and the well-being of local populations (6).

One of IGAD's flagship missions is to promote public health and social development in the region. In this context, IGAD undertakes PHC initiatives and funds HP aimed at developing and improving health services and the quality of care delivery (7). These efforts seek to expand health coverage, address disparities in access to care in the region, and promote the right to health and social well-being. Health is perceived not only as a fundamental human right but also as a determinant of community productivity (CP) and resilience (8). To achieve this mission, it is crucial for member countries, with the

support of IGAD authorities, to adopt the concept of CP, Community participation is defined as a process that actively involves community members in the design, implementation and evaluation of health interventions. Its main aim is to strengthen their commitment and capacity to make a significant contribution to improving their collective health and well-being. CP refers to the active involvement of community members in the planning, implementation, and evaluation of health interventions. This includes consulting communities to identify their needs, collaborating to design relevant programs, and their direct participation in health activities (9). This approach goes further, creating a continuous partnership between health services and communities, empowering members and strengthening their health autonomy (10). These mechanisms of CP can be distributed on a continuum, ranging from minimal participation (receiving information) to active participation (delegation and empowerment) (11). A fundamental element of CP is the application of appropriate levels of engagement in a given context. Although CP is widely accepted in theory and practice in the IGAD region countries, notable differences persist in its implementation. Various challenges continue to hinder the success of these initiatives, despite their essential role in improving the quality of health services and interventions, their sustainability, and public health in general. What must be kept in mind is that, in public health, community participation refers to the involvement of community members in the processes of decision-making, implementation, and evaluation of health interventions, generally in a consultative or contributory role, but without actual decision-making power. In contrast, community engagement is characterized by a deeper involvement, where the community takes on a leadership role and participates in the co-management of health initiatives. The key distinction lies in the degree of empowerment: community participation offers a consultative voice, while community engagement promotes the sharing of power and responsibilities, thus creating a true partnership with local stakeholders. This study aims to explore the main successes and challenges associated with CP initiatives implemented in the fields of PHC and HP within the IGAD region countries. Additionally, it seeks to identify factors influencing community engagement (CE) and assess the level of this engagement. The results of this study could serve as a valuable source of information and documentation for IGAD authorities, allowing them to adjust CP approaches and contextualize them according to local needs.

## METHODS

This study presents a scoping review of the scientific literature on CP initiatives in PHC and HP within the member countries of the IGAD region. We applied the Arksey and O'Malley methodological framework and the IAP2 Public Participation Spectrum evaluation model (inform, consult, involve, collaborate, and empower) to conduct this exploratory review.

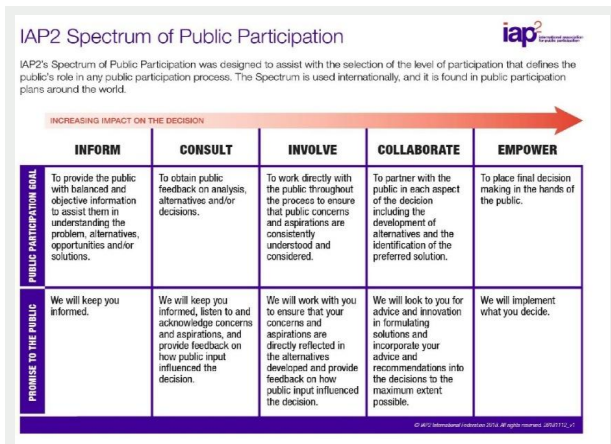


Figure 2. IAP2 spectrum of public participation

This methodology enabled us to identify the successes and challenges related to CP, as well as the influencing factors and to evaluate the levels of engagement (12, 13). To ensure a comprehensive presentation of the methods and results, we used the PRISMA-ScR (Preferred Reporting Items for Systematic Reviews and Meta-Analyses Extension for Scoping Reviews) checklist (14).

### Identifying the research question

The scoping review was structured around one main question and two secondary sub-questions. The main question is as follows: What are the successes and challenges associated with the Community Participation (CP) approach in Primary Health Care (PHC) and health programs? Two secondary sub-questions complement this main inquiry: What are the factors influencing the effectiveness of Community Participation in these contexts? and What is the level of community engagement within the Community Participation approach?

### Identifying relevant studies

We conducted a search in major electronic databases, including PubMed, Scopus, Web of Science, and Google Scholar, between March 15, 2024, and April 13, 2024. The search focused on articles published in English since the creation of each database. The search strategy included the use of combinations of relevant terms and keywords, such as "community participation," "community involvement," "community engagement," "citizen participation," "primary health care," and "health programs," along with the names of all countries in the IGAD region. Keywords were combined using Boolean operators (AND, NOT, OR) to develop or refine the search parameters, quotation marks (" ") to obtain results with exact phrases, and parentheses to group search terms (supplementary file 1, table 1 (On Line)).

### Selection of studies

All articles included in this literature review met the following criteria: i. The study had to focus on one or more countries in the IGAD region, namely Uganda, Kenya, Ethiopia, Djibouti, South Sudan, Somalia, Sudan,

or Eritrea; ii. The studies had to address the mechanisms and approaches of CP in PHC and health programs HP; iii. The articles had to be published in the English language; iv. Publications had to be dated before the end of the search period in the electronic databases. Studies were included if their results addressed our research question, regardless of the individual quality of the studies. The selection of articles was carried out in several stages. Initially, articles were automatically selected by applying specific search strategies in the databases. The obtained references were then exported to Zotero, where duplicates were removed. After this elimination, the remaining references were screened based on the eligibility criteria. The first screening involved an assessment of the articles based on the reading of titles and abstracts. Articles selected at this stage were then subjected to a full-text reading for final inclusion. The initial selection, based on title and abstract, was performed by the first author and then reviewed by other authors. The selection of full texts was first conducted by the first author, then evaluated by the other authors. Any disagreements were resolved through discussion with the last author.

### Data Extraction, Synthesizing and Presenting Findings

First, a data extraction and mapping sheet was developed by the authors to collect information for each study, such as the authors, year, country, type of study, method employed, study objectives, main findings, and authors' conclusions (supplementary file 1, table 1). The data were extracted by the first author and verified by other authors. Two additional data extraction tables were also developed: one to identify the factors influencing community Participation and the other to evaluate the level of engagement according to the framework of the International Association for Public Participation (IAP2), based on the information and data from the studies included in our review (supplementary file 2, table 1). After extracting the relevant information, the data were described in a narrative and descriptive manner, grouped into five main themes: characteristics of the included studies, different formats of CP mechanisms, factors influencing CE, comparative analysis, and evaluation of the level of engagement according to the IAP2 framework.

## RESULTS

The results of our research identified 1,578 articles in PubMed, 715 in Scopus, 776 in Web of Science, and 36 in Google Scholar. After removing duplicates, 1,281 articles were retained. Screening of titles and abstracts determined that 104 articles met the selection criteria. However, a thorough analysis of the full texts led to the exclusion of an additional 40 articles. Consequently, 64 articles were included in the final review. The primary reasons for exclusion included a lack of focus on the study subject, absence of relevant new evidence, failure to report outcomes of interest, and limitations of study protocols.

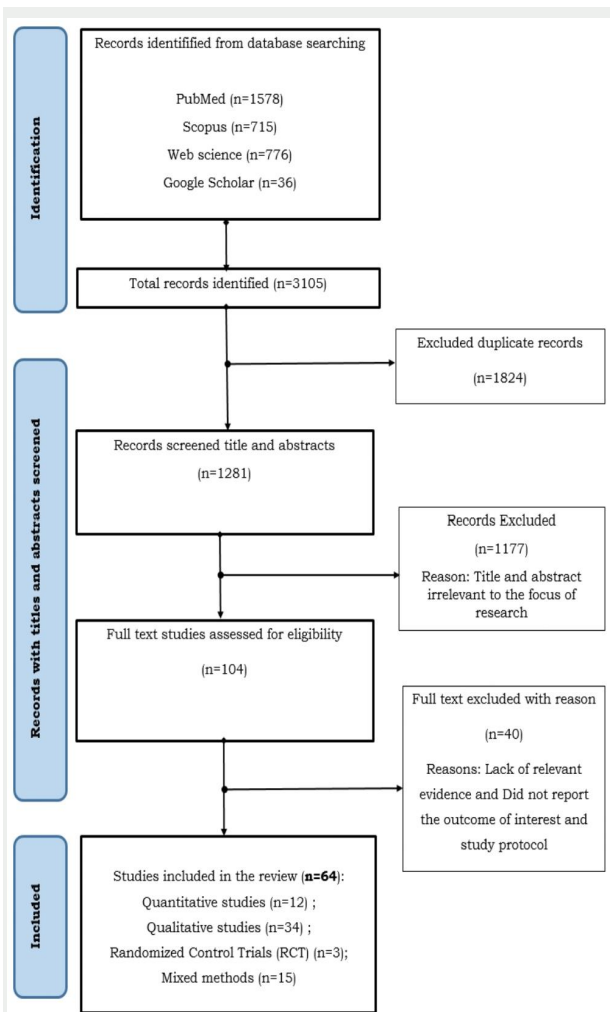


Figure 3. PRISMA-ScR flow chart showing the selection of studies for the review

### Characteristics of included studies

The articles included in our scoping review exhibit various characteristics, particularly concerning their type, the applied method, and the country where the research was conducted. Regarding the type of article, fifty-one are original research articles, four are case studies, two are narrative reviews, one is a perspective or opinion, three are brief research reports, and three are technical reports. These studies cover a wide range of methodologies, including twelve quantitative studies, thirty-four qualitative studies, fifteen mixed-methods studies, and three randomized controlled trials (RCTs). In terms of the distribution of articles by the countries where the studies were conducted, fourteen studies were conducted in Ethiopia with one multicentric study (Brazil and Sri Lanka), three in Djibouti, three in Somalia with one multicentric study (Kenya and South Sudan), four in Eritrea, fifteen in Uganda with one multicentric study in South Africa, fourteen in Kenya with four multicentric studies (Kenya, Nigeria, Zimbabwe, Uganda, ) involving various countries, six in South Sudan, and six in Sudan. The articles address the implementation approach of CP in the IGAD region within these two studied concepts: PHC and HP. They explore various aspects of these components, including health promotion and

prevention, health education, an integrated approach (hygiene, drinking water, maternal and child health, nutrition, vaccination, safety), accessibility, and equity. Additionally, they discuss communication strategies, CE, assessment of knowledge, attitudes, and practices (KAP), as well as epidemiological surveillance and health information technology (HIT). The articles also examine the management of chronic and vector-borne diseases, training of community health workers, health system governance, capacity building of civil society networks. Finally, they cover ethical research, health system strengthening, health planning and financing, environmental sanitation, and legislative and legal frameworks. (Supplementary file 1, Table 1).

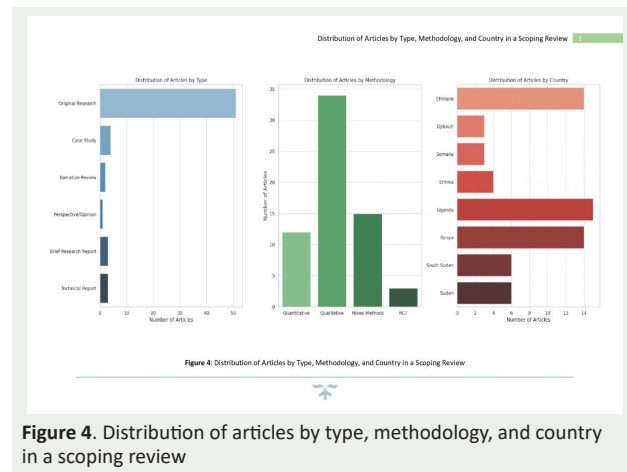


Figure 4. Distribution of articles by type, methodology, and country in a scoping review

### The Various Forms and Mechanisms of CP

CP in the countries of the IGAD region primarily manifests in two ways: through the creation and implementation of community health committees or working groups, or through individual, voluntary, spontaneous, and provisional engagement by local population members. These two mechanisms play a crucial role in various participatory functions, whether they are informational, consultative, operational, decision-making, financial, or related to monitoring and evaluation. It is also possible to combine these two mechanisms to maximize the effectiveness of CP.

### Creation and Implementation of Community Health Committees, Councils, or Groups

In several countries within the IGAD region, committees, working groups, or advisory councils have been established, selected either by health authorities or by the community itself based on its level of engagement. The results of our study indicate, for example, that in Kenya, there are Community Health Committees (CHCs), Community Advisory Committees (CACs), and Community Advisory Groups (CAGs) (15-18). In Uganda, there are Village Health Teams, Community Advisory Boards, and Health Unit Management Committees (19) (20, 21). In Ethiopia, there are Social Affairs Committees, the Health Development Army (HDA), and Pregnant Women Forums (PWF) (22-24). In South Sudan, there are Boma Health Committees and the Implementation Coordination Committee (25). In Somalia, there is the



Nutrition Cluster (26). Community health committees and working groups share common objectives and roles essential for facilitating CP in identifying health problems, setting priorities, and planning activities within their local communities. Their involvement extends to raising awareness among the population, providing guidance to promote preventive management and healthy behaviors to preserve health and well-being. They ensure that the community's needs are addressed by working closely with local health facilities. Their role also includes supporting the implementation of health initiatives and ensuring that health interventions are adapted to local realities and expectations. The distinctive feature of this mechanism lies in the ongoing and continuous collaboration between committees, working groups, health professionals, and community health workers.

### **Mobilizing community volunteers**

This second mechanism relies on the spontaneous and temporary engagement of local community members, who offer their time and skills. Their motivation stems from their desire to contribute to the health and well-being of their community. However, this engagement is limited in time and scope, for example, during a cross-sectional epidemiological survey to collect information on a specific disease, such as in Djibouti for the hemorrhagic fever virus in 2010 and 2011, or during a health crisis such as the COVID-19 pandemic in Uganda, Ethiopia and Djibouti (27-29). In Eritrea, in the villages of Gash Barka, the inhabitants, primarily women (75.5%), actively collaborated to combat malaria. Among adult household members (>15 years), 67.3% participated in environmental sanitation activities. Additionally, 7.9% of respondents took part in larval habitat management initiatives, such as filling or draining water bodies and eliminating stagnant water (30, 31).

### **Successes and Challenges Related to Community Participation**

#### **Successes of Community Participation**

The results of our review highlighted several improvements associated with CP approaches implemented by IGAD member countries. A well-managed and well-understood community participation is fundamental for the improvement of health programs and primary healthcare (32). By actively involving community members in the planning and implementation of health initiatives, services become better tailored to local needs, fostering ownership and engagement among the population, over time, activities achieve greater sustainability, thereby ensuring their longevity and long-term impact. This approach also enhances cooperation and responsibility among community members in promoting their own health, ultimately leading to improved overall health outcomes (33, 34). The following are examples of positive impacts of CP on PHC and HP.

#### *Prevention, Disease and Health Crisis Management, and Strengthening Community Resilience*

The results of our review highlighted several improvements

associated with CP approaches implemented by IGAD member countries. These improvements notably concern disease prevention and control, community resilience, trust in institutions, CE, as well as preventive knowledge and practices in managing infectious diseases. These advances are attributed to intensive awareness and training efforts (35, 46). Targeted initiatives were carried out by committees, working groups, associations, volunteers, and local community members, as well as by community health workers (CHWs) and other civil society actors. Together, they organized health education, information, and training campaigns, thus strengthening their resilience and local governance. For example, during the Ebola hemorrhagic fever outbreaks, in the fight against malaria, tuberculosis, HIV/AIDS, neglected tropical diseases, and the COVID-19 pandemic (41, 55). The use of media, including radio, television, and social networks, was a key information channel for these campaigns (24, 40, 56, 58). In Ethiopia, 681 volunteers chosen by their communities were trained to fight malaria, and 81,781 community resident volunteers participated in environmental activities between July 1993 and June 1994 (22). CHWs organized regular home visits for the surveillance of suspected tuberculosis cases (59). In Uganda, a significant increase in coverage for malaria treatment (+23%), pneumonia (+19%), and diarrhea (+13%) was observed in intervention districts (56) (60). Continuous awareness and education of community leaders enhanced the understanding and acceptance of genetic and genomic studies (61). In Eritrea, a decrease in malaria incidence (from 33 to 5 per 1000 inhabitants) was observed, with 91% of households using insecticide-treated nets (ITNs), and a reduction in mortality rate (30, 31, 62, 63). In South Sudan, the seroprevalence of trypanosomiasis decreased from 9% to 2% between 1997 and 1999 (64), and the capacities of 250 Red Cross volunteers and 27 village health committees (Boma) were strengthened (65). In Sudan, preventive practices showed moderate improvement: about 55% of participants adopted patient isolation as a preventive measure, 55.4% adopted the use of personal protective equipment (PPE), and 62.7% adopted good corpse management practices (66). In the Gezira State, about 70% of the population stopped practicing female genital mutilation (FGM) thanks to the Saleema campaign (67). Community Participation (CP) played a central role in the success of the Saleema campaign by fostering open and inclusive dialogue. The campaign focused on raising awareness and directly involving community members at all levels, including religious leaders, local leaders, families, and women. Saleema allowed these groups to actively participate in decision-making and discussions about abandoning female genital mutilation (FGM), by addressing their concerns and elevating local voices. The campaign also utilized participatory methods, such as group discussions, community events, and training sessions, to encourage families to understand the risks associated with FGM and adopt new, positive cultural norms. The success of the initiative lies in the community's ownership of the message, making the fight against FGM a grassroots initiative rather than an externally imposed

one. This approach contributed to lasting social change and collective adherence to new practices. In Kenya, CP enabled 93% of participating parents to wish for their children to remain involved in the study one year after enrollment, indicating increased trust in trial staff (57). Community-Led Total Sanitation (CLTS) programs were adopted by most counties to prevent open defecation and reduce worm transmission (42).

#### *Improving Access, Utilization, and Coverage of Healthcare Services*

In South Sudan, six primary healthcare (PHC) units were constructed and equipped as part of the community project, thus improving healthcare access for 90% of the population in Mayendit County (25). Community Participation (CP) played a key role in this initiative by mobilizing community members to identify local needs and participate in the planning and implementation of healthcare infrastructure. Thanks to this participatory approach, healthcare services were better adapted to local realities, leading to improved access to care and greater acceptance of services by the population. Healthcare coverage was also strengthened through CP, with each region required to have a community health worker for 4,000 people in sedentary areas and for 1,500 nomads (1977/78-1983/84) (68). This community-based approach allowed for a more equitable distribution of healthcare services, taking into account the specific needs of nomadic populations. The participation of the communities in the creation and management of these services increased their engagement and the sustainability of the interventions. In Ethiopia, the use of maternal health services increased significantly (23, 39, 69). This increase is a direct result of the Health Extension Program (HEP), which relies heavily on CP. The HEP trained community health workers from within the communities themselves, who played a crucial role in raising awareness and supporting pregnant women. This helped to build trust in the healthcare system, increase the use of healthcare centers, and raise PHC coverage from 76.9% in 2005 to 90% in 2010 (35). Community ownership and the direct involvement of its members largely contributed to the success of this initiative. In Uganda, non-state actors (NSA) conducted home visits to assess the health needs of children and the male partners of pregnant women (60, 51). Community Participation actively involved families in prenatal care and raised awareness among men, who are often excluded from these discussions. By involving the entire family, including men, in maternal health, CP improved the rate of prenatal consultations and facility-based deliveries through a better understanding of health issues (52).

In Kenya, Community Participation (CP) improved access to health services by increasing the number of healthcare professional visits to remote areas by 35% (15). This success was due to the strong involvement of communities in identifying healthcare needs and their active participation in organizing medical visits. Mutual trust between healthcare professionals and communities was strengthened through this approach, making the interventions more effective and sustainable.

Additionally, the coverage of pregnant women receiving two doses of intermittent preventive treatment for malaria increased from 47% to 63%, a 34% rise (70), thanks to awareness-raising efforts by community health workers, who themselves were community members. The involvement of the community in the fight against malaria encouraged better adoption of preventive practices. In all these examples, CE and CP have been essential levers for ensuring that healthcare services meet local needs, enhancing community participation, and guaranteeing the sustainability of the results achieved.

#### *Promotion of Maternal and Child Health*

The improvement in effective coverage of acute malnutrition services in Somalia has been notable (71, 72). In this context, Community Engagement (CE) played a crucial role. Community leaders were mobilized to raise awareness among families about the signs of malnutrition and guide them to treatment centers. The active involvement of community members made it possible to identify a greater number of malnourished children and ensure they received proper follow-up care. By working closely with health workers, communities not only facilitated access to services but also contributed to disseminating safer nutritional practices and interventions. This participatory approach strengthened local awareness, expanded service coverage, and led to a significant improvement in addressing acute malnutrition. In Ethiopia, vaccination coverage saw a substantial increase thanks to CE. For instance, coverage for the pentavalent-3 vaccine increased from 63% to 84% in Assosa and from 78% to 93% in Bambasi between January 2013 and December 2016. Measles vaccination coverage also rose, from 77% to 81% in Assosa and from 59% to 86% in Bambasi over the same period. CE was central to these improvements, with the mobilization of community health workers and local leaders. These actors raised awareness among families about the importance of vaccination, conducted active follow-ups for children, and encouraged women to engage in prenatal care. Consequently, the adoption of modern family planning methods also increased significantly, from 12% to 93% in Assosa and from 18% to 62% in Bambasi between January and December 2016. In both regions, prenatal visit coverage also improved: in Assosa, it rose from 60% in June 2013 to 76% in June 2016, and in Bambasi, from 67% to 95% over the same period (35). The active participation of communities helped better integrate health services into the daily lives of the population, thereby strengthening trust in the healthcare system and improving maternal and child health indicators.

In Uganda, the active involvement of men in maternal and child health, facilitated by CE, improved health outcomes for mothers and children (20, 40). Similarly, in Kenya, CE increased the percentage of pregnant women attending four prenatal visits, from 14% to 31%, a rise of 116%. Moreover, Vitamin A supplementation for children increased from 17% to 39%, a 130% increase. The vaccination coverage for fully immunized children also improved, rising from 65% to 99%, an increase of 53% (70). This success is attributed to the strong involvement of

communities in the implementation of health programs, leading to greater adoption of preventive practices and a general improvement in public health outcomes.

*Equity, Cost Reduction, and Resource Optimization*

In Sudan, volunteer labor for construction work, fundraising for social and educational services, and materials for the construction of PHC units reduced costs (68). Gender equity was strengthened through the participation of women via the Food Relief Committees and transparency initiatives (74). Women represent 75% of health instructors (murshidat) and 40% of village health committees (VHCs) (75, 24). In Ethiopia, communities participate in the election of CHWs, the implementation of program activities, and the evaluation of CHW performance (22, 38, 39). In Uganda, mobilizing community members led to the formation of 34 associations, training them in credit access and management to support income-generating projects (60). Social networks were enhanced, and social cohesion was promoted through sports events and national day commemorations. Community savings and credit systems and insurance schemes for obstetric emergencies were established (76). The cost of training CHWs and community supervisors has decreased over the years, making the process more economical (77). For example, in Uganda, NSAs helped reduce HIV-related stigma and improve trust between communities and health systems (51). The protection of community rights and interests and the development of a rights-based approach were strengthened (41) (21). In Kenya, communities successfully mobilized local resources, reducing their dependence on external funding and increasing the resources available for health initiatives by 25% (15, 54).

**The Key Challenges in Community Mobilization**

In the context of community mobilization and engagement within the IGAD member countries, numerous major challenges have been identified, complicating collaborative and development initiatives. These challenges include communication defects, such as unclear messaging, inadequate choice of communication channels, poor synchronization, lack of feedback and insufficient active listening, as well as limited accessibility to information (15, 19, 25, 27, 40, 43, 53, 67, 75). Past negative experiences, lack of motivation, and unmanaged adverse effects of an intervention, such as resistance to indoor spraying due to allergies and unpleasant insecticide odors, further complicate these efforts (17, 19, 38, 39, 44, 49, 50, 57, 67, 75, 77). Additionally, there is sometimes a lack of trust from the community towards community health workers and healthcare providers, as well as misconceptions due to cultural beliefs and taboos (17-20, 22-24, 27, 36, 40, 41, 51-53, 55, 57, 58, 67, 75, 76, 78). These difficulties are further amplified by political instability and security issues related to armed conflicts and civil war that plague the region (22, 26, 35, 36, 47, 65, 72, 78, 79). Furthermore, the limited skills of community health workers, the low level of knowledge and education in the community, language barriers, as well as social exclusion and marginalization of certain societies in the

region, pose significant challenges. On the other hand, notable challenges include the lack of material resources, such as insufficient medical equipment, inadequate infrastructure, and limited training materials. Financial constraints, such as restricted budgets and insufficient financial support, also represent major obstacles. Additionally, transportation and logistics issues hinder the massive participation of the community (17, 22, 26, 42, 43, 49, 56, 59, 68, 69, 76, 78). Another major challenge lies in the weak engagement of state institutions and partners, as well as the absence of policy standards and legislative frameworks (55) (50). Furthermore, spatial disparities and limited accessibility to health facilities, especially in rural and remote areas, exacerbate these difficulties (22, 26, 36, 39, 47, 49, 50- 52, 58, 59, 64, 66, 70, 72, 76, 77).

**Deterrent and Incentive Factors for Community Engagement**

To maximize community participation and ensure the success and sustainability of health programs in IGAD member countries, it is essential to understand and manage certain influencing factors. The World Health Organization (WHO) emphasizes that CE is a social process that integrates physical, emotional, mental, social, and spiritual dimensions. It is crucial to incorporate the social determinants of health into the design and delivery of health services, which enhances the well-being of healthcare workers, service users, and the broader communities (80, 81).

**Table 1.** Deterrent and Incentive Factors in Community Participation

Deterrent Factors in Community Participation	Incentive Factors in Community Participation
Low community leadership (17, 56, 71, 25, 30)	Recognition and rewards for participation (57, 15, 23)
Opaque or inadequate communication (23, 35, 19, 49, 58, 18, 25, 67)	Training and capacity building (17, 48, 51, 78, 66, 42, 71, 65)
Lack of or limited access to information and education (67, 70)	Strong financial and material support (68, 30, 38, 70, 58)
Inadequate basic infrastructure and services And weak institutional support (21, 35)	Personal and professional development opportunities (78, 44)
Transportation and logistics issues (68, 74, 75, 25, 78, 28, 36, 58, 76, 20)	Community support and solidarity networks (52, 62, 51)
Volunteer and staff fatigue and workload overload (47, 68, 30)	Strong awareness and clear information campaigns (67, 37)
Social exclusion, marginalization, and poverty (72, 53)	Creation of forums and dialogue spaces (41, 38, 51)
Conflicts involving armed forces, civil war, and internal tensions within the community (71, 25, 26, 78)	Programs tailored to local needs (29, 15)
Poor intersectoral coordination (65, 26)	Previous successes, positive experiences, and involvement of local leaders (56, 22, 47, 73)
Mistrust and lack of confidence in institutions and community health workers (36, 22, 24, 58, 19, 51, 21)	Regular communication and feedback (17, 28, 72)
Unfavorable policies and legislative frameworks (82, 26)	Respect and integration of local and religious customs (68, 25, 73)
Absence or weak monitoring and evaluation (64)	Use of information and communication technologies (26, 50)
Cultural and linguistic barriers and past negative experiences (38, 56)	Facilitation of access and improvement of infrastructure (46, 25)

## Assessment of the Level of CP According to the IAP2 Framework

The results of this study show significant disparities among IGAD member countries regarding the availability of peer-reviewed scientific studies, the application of the CP concept in various health fields, and the levels of CE according to the spectrum of the International Association for Public Participation (IAP2). Specifically, the access, availability, and publication of peer-reviewed scientific studies examining CE and participation in PHC and HP are very limited or moderate in countries such as Eritrea, Somalia, Djibouti, South Sudan, and Sudan. In contrast, Kenya, Ethiopia, and Uganda have more studies and scientific publications, as well as evaluation programs, documenting CE and participation in various health fields in these countries. These countries in the region widely integrate the concept of CE in various health fields, such as the management and control of epidemics and pandemics, the improvement and evaluation of health services, capacity building, community resilience, and program implementation, among others. Conversely, Kenya, Ethiopia, and Uganda stand out for their more advanced use of this concept, particularly through the conduct of clinical research, vaccine trials, ethics, and the study of social determinants of health (44, 57, 21, 41, 61, 56). According to the engagement spectrum of the International Association for Public Participation, all IGAD countries effectively manage the levels of engagement to inform, consult, and involve. However, according to the studies included in our research, Eritrea and Somalia struggle to reach the collaboration level, and only Uganda and Kenya have started to progress towards the advanced levels of delegate and empower. (Supplementary file 1, Table 1)

## DISCUSSION

Our scoping study highlights the critical role of community participation in primary health care (PHC) and health programs (HP) across the IGAD region. Two primary strategies for community participation have emerged. The first, instrumental participation, involves engaging communities to achieve specific predefined objectives, such as collecting feedback to improve service delivery. The second, transformative participation, focuses on empowering communities to actively influence decisions, prioritize their needs, and take ownership of health initiatives. These strategies emphasize the need for community participation to go beyond mere consultation and involve meaningful engagement and representation (9). The successes of community participation in PHC and HP are evident in various domains. Notable achievements include the strengthening of local health systems, reductions in health disparities, improvements in education on sexual and reproductive health, and early detection of diseases. Community participation has also enhanced resilience to health crises, improved nutrition and hygiene practices, empowered women, increased access to healthcare, and contributed to the

prevention and management of both communicable and non-communicable diseases (37,38). These outcomes underscore the potential of community participation as a critical mechanism for achieving sustainable development and healthier communities.

Despite these successes, significant challenges hinder the sustainability and scalability of community participation initiatives. One major challenge is poor communication and coordination among stakeholders, which undermines the effectiveness of community mobilization efforts. Limited financial and material resources also constrain the capacity of communities to engage meaningfully. Another critical issue is the lack of trust that communities often have in health institutions and community health workers. This distrust is exacerbated by opaque selection processes for community representatives and unclear messaging about health initiatives. Cultural beliefs and traditional practices sometimes conflict with public health interventions, necessitating a tailored and culturally sensitive approach by health authorities and community health workers. Political instability and insecurity in certain regions further complicate the implementation of health programs. Moreover, inadequate and non-diversified training of community health workers limits the effectiveness of interventions. Finally, the unequal participation of marginalized groups leads to gaps in health program coverage, perpetuating existing disparities. Addressing these challenges is essential to ensuring the long-term success of community participation initiatives in the East African countries region.

While the successes described in this study can often be attributed to community participation, it is important to recognize the influence of external factors. Funding from international donors, policy reforms, and broader socio-economic and environmental dynamics have likely played significant roles. The causal relationship between community participation and its reported outcomes requires further investigation through rigorous evaluations to better isolate its specific contributions (35, 36). In the IGAD region, disparities in community participation practices are apparent. Kenya, Uganda, and Ethiopia stand out for their extensive peer-reviewed publications and evaluation programs on community participation in PHC and HP. These countries have more advanced research infrastructures, greater research funding, and stronger integration into global networks. They also exhibit higher levels of community empowerment, including partial delegation of decision-making to communities, as outlined in the IAP2 spectrum. However, challenges remain in achieving the highest levels of empowerment, such as full leadership and decision-making autonomy for communities. Other IGAD countries struggle with political instability, armed conflicts, and limited institutional support, which further hinder progress.

Like any scientific review, our study has limitations that must be acknowledged. The focus on peer-reviewed literature may have excluded valuable insights from gray literature. Additionally, some studies included in our review did not involve communities in defining outcomes and objectives, potentially introducing biases



that do not fully reflect the perspectives and needs of local communities. Variations in the methodological rigor of the included studies may also affect the reliability of our findings. Political instability and security issues in some IGAD regions likely influenced the availability of data and the implementation of community participation initiatives, complicating comprehensive assessments. Despite these limitations, this review provides valuable insights for policymakers and health authorities in the IGAD region. These findings can inform the design and adaptation of community participation initiatives in PHC and HP. Future research should aim to conduct more rigorous evaluations to isolate the effects of community participation, include a wider range of information sources such as gray literature, and actively involve communities in the evaluation process. Such efforts are critical for improving the effectiveness and sustainability of community participation initiatives.

## CONCLUSION

Our review highlighted the crucial role of Community participation the success of health programs and primary health care in the IGAD region. Although positive outcomes have been observed, numerous challenges still need to be addressed to optimize the effectiveness and sustainability of community participation initiatives. A thorough understanding of the factors influencing community engagement is essential for developing strategies tailored to local contexts. The findings of this scoping review provide valuable guidance for health authorities and policymakers in the IGAD region to design more effective initiatives aimed at strengthening community participation in primary health care and health services programs.

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